

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WISCONSIN

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NEXT LEVEL PLANNING & WEALTH :  
MANAGEMENT, LLC, :

Plaintiff, :

- against - :

THE PRUDENTIAL INSURANCE COMPANY :  
OF AMERICA and PRUCO SECURITIES, LLC, :

Defendants. :

Case No. 2:18-mc-00065

(Judge P. Pepper)

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**DEFENDANTS' NOTICE OF CROSS-MOTION AND CROSS-MOTION TO COMPEL  
COMPLIANCE WITH DEFENDANTS' FINRA SUBPOENA**

PLEASE TAKE NOTICE that, pursuant to Rule 45 of the Federal Rules of Civil Procedure and upon the accompanying Memorandum of Law in support of this Motion, which is hereby incorporated herein, Defendants The Prudential Insurance Company of America and Pruco Securities, LLC hereby move the Court for an Order enforcing Defendants' FINRA subpoena pursuant to Section 7 of the Federal Arbitration Act.

Dated: New York, New York  
January 14, 2019

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